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# Providing platform heterogeneity-awareness for data center power management

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19 Abstract Power management is becoming an increasingly 20 critical component of modern enterprise computing environ-21 ments. The traditional drive for higher performance has in-22 fluenced trends towards consolidation and higher densities. 23 artifacts enabled by virtualization and new small form fac-24 tor server blades. The resulting effect has been increased 25 power and cooling requirements in data centers which el-26 evate ownership costs and put more pressure on rack and 27 enclosure densities. To address these issues, we exploit a 28 fundamental characteristic of data centers: "platform het-29 erogeneity". This heterogeneity stems from the architec-30 tural and management-capability variations of the underly-31 ing platforms. We define an intelligent heterogeneity-aware 32 load management (HALM) system that leverages hetero-33 geneity characteristics to provide two data center level ben-34 efits: (i) power efficient allocations of workloads to the best 35 fitting platforms and (ii) improved overall performance in 36 a power constrained environment. Our infrastructure relies 37 upon platform and workload descriptors as well as a novel 38 analytical prediction layer that accurately predicts workload 39 power/performance across different platform architectures 40 and power management capabilities. Our allocation scheme 41

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achieves on average 20% improvements in power efficiency for representative heterogeneous data center configurations, and up to 18% improvements in performance degradation when power budgeting must be performed. These results highlight the significant potential of heterogeneity-aware management.

**Keywords** Power management · Distributed resource management · Heterogeneous systems

# 1 Introduction

Power management has become a critical component of modern computing systems, pervading both mobile and enterprise environments. Power consumption is a particularly significant issue in data centers, stimulating a variety of research for server systems [2]. Increased performance requirements in data centers have resulted in elevated densities enabled via consolidation and reduced server form factors. This has in turn created challenges in provisioning the necessary power and cooling capacities. For example, current data centers allocate nearly 60 Amps per rack, a limit that is likely to become prohibitive for future high density rack configurations such as blade servers, even if the accompanying cooling issues can be solved [24]. In addition, a 30,000 square feet data center with a power consumption of 10 MW requires a cooling system which costs \$2-\$5 million [21]. In such a system, the cost of running the air conditioning equipment alone can reach \$4-\$8 million a year [24]. Coupled with the elevated electricity costs from high performance servers, these effects can substantially affect the operating costs of a data center. Overall, these trends in power/cooling delivery and cost highlight the need for power and thermal management support in data centers.

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109 Previous work on server management has focused on 110 managing heat during thermal events [21] or utilizing plat-111 form power management support, such as processor fre-112 quency scaling, for power budgeting [9, 18, 24]. In this pa-113 per, we approach the problem of managing data centers from 114 a different perspective by considering how to intelligently 115 allocate workloads amongst heterogeneous platforms in a 116 manner that (i) improves data center power-efficiency while 117 preserving/satisfying workload performance requirements, 118 and (ii) meets data-center-level power budgets with minimal 119 impact on workload performance. Typically, data centers 120 statically allocate platform resources to applications based 121 upon peak load characteristics in order to maintain isola-122 tion and provide performance guarantees. With the continu-123 ing growth in capabilities of virtualization solutions (e.g., 124 Xen [1] and VMware [25]), the necessity of such offline 125 provisioning is removed. Indeed, by allowing for flexible 126 and dynamic migration of workloads across physical re-127 sources [6], the use of virtualization in future data centers 128 enables a new avenue of management and optimization. Our 129 approach begins to leverage some of these capabilities to 130 enhance power efficiency by taking advantage of the ability 131 to assign virtualized applications to varying sets of underly-132 ing hardware platforms based upon performance needs and 133 power consumption characteristics. 134

Throughout their lifetimes, data centers continually up-135 grade servers due to failures, capacity increases, and mi-136 grations to new form factors [12]. Over time, this leads to 137 data centers comprised of a range of heterogeneous plat-138 forms with differences in component technologies; power, 139 performance and thermal characteristics; and power man-140 agement capabilities. When provisioning resources to work-141 loads in these heterogeneous environments, power efficiency 142 can vary significantly based on the particular allocation. For 143 example, by assigning a memory bound workload to a plat-144 form that can perform dynamic voltage and frequency scal-145 ing (DVFS), run-time power consumption can be reduced 146 with minimal impact to performance [19]. We propose a 147 novel heterogeneity-aware load management (HALM) ar-148 chitecture to achieve this power-friendly behavior in data 149 150 centers.

151 Allocating power and cooling resources is another sig-152 nificant challenge in the modern data center. Though clearly 153 beneficial for transient power delivery and cooling issues, 154 power budgeting solutions can also be useful in the provi-155 sioning of these resources. Traditionally, power and cooling 156 have been allocated based on the nameplate rating of the sys-157 tem power supply or its maximum output power. However, a 158 fully utilized server with a typical configuration will see its 159 electrical load between 60%–75% of the name plate rating 160 with most enterprise workloads. Therefore, providing power 161 and cooling capacity based on these worst case assumptions 162

results in either over allocation of power and cooling ca-163 pacity or underutilization of server rack space leading to in-164 creased capital costs and underutilized data centers. Allocat-165 ing power and cooling capacity based on the average work-166 load behavior within a server and across a data center allows 167 significantly increased densities but requires dynamic pro-168 tection mechanisms that can limit server power consump-169 tion when demand temporarily exceeds available capacity. 170 These mechanisms have been recently proposed in the liter-171 ature and explored in the industry [8]. While very effective 172 in limiting power and protecting the infrastructure, they may 173 result in nontrivial degradation of peak performance, espe-174 cially when the power constraint is too prohibitive. In this 175 paper we illustrate how HALM can lessen the performance 176 impact of data center power budgeting strategies. 177

Intelligent mapping of applications to underlying platforms is dependent upon the availability of relevant information about workloads and hardware resources. As part of HALM, we extend the use of workload and platform descriptors for this purpose, which are then used by a pre*dictor* component that estimates the achievable performance and power savings across the different platforms in the data center. These predictions are finally used by an allocation *layer* that map workloads to a specific type of platform. This overall infrastructure is evaluated using data center configurations consisting of variations upon four distinct platforms. In summary, the main contributions of our HALM system are: (i) a platform heterogeneity-aware power management infrastructure that improves data center power efficiency under workload performance constraints and limited data center power budgets; (ii) an allocation infrastructure that uses workload and platform descriptors to perform mappings of hardware to virtualized workloads; and (iii) an intelligent load shedding policy to dynamically meet transient changes in power consumption limits. Evaluations of our system performed on state-of-the art platforms, including Intel<sup>®</sup> Core<sup>TM</sup> microarchitecture based hardware, demonstrate the benefits of exploiting platform heterogeneity for power management.

# 2 Motivation

# 2.1 Data center composition and exploiting heterogeneity

Data center deployments are inherently heterogeneous. Upgrade cycles and replacement of failed components and systems contribute to this heterogeneity. In addition, new processor and memory architectures appear every few years, and reliability requirements are becoming ever more stringent. The effect of these trends is reflected by a recent survey of data center managers that found that 90% of the facilities are expected to upgrade their compute and storage infrastructure in the next two years. Figure 1(a) shows a distribution of different systems in a representative enterprise data

₩ <sup>35%</sup> T		System A		System B	
		W1	W2	W1	W2
<b>5</b> 25%	CPU Power	90W	40W	90W	20W
S 15%	System Power	160W	120W	160W	120W
10% ·····	PSU Efficiency	86%	70%	87%	80%
<b>5</b> 5% <b>1</b>	Total Power	291W	229W	287W	175W

(a) Data Center Composition

Fig. 1 Data center heterogeneity and management benefits

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center. As the figure shows, the data center contains nine different generations of systems that have either (1) different
processor architectures, cores and frequencies; (2) varying
memory capacity and interconnect speeds; or (3) different
I/O capabilities. While all systems support the same software stack, they have very different and often asymmetric
performance and power characteristics.

Traditionally, the non-uniformity of systems in a data 236 center has been characterized by different levels of perfor-237 mance and power consumption. However, recently, another 238 dimension has been added to this heterogeneity because 239 server platforms are beginning to offer rich thermal and 240 power management capabilities. Processors support DVFS 241 and aggressive sleep states to conserve CPU power. New 242 memory power management implementations allow differ-243 ent DRAM devices to go to lower power states when in-244 active, and enable bandwidth throttling for thermal protec-245 tion. Server power supplies exhibit different conversion ef-246 ficiencies under different loads, directly impacting the over-247 all power efficiency of the system. Since power efficiency 248 has become an important thrust in enterprise systems, we 249 expect component and platform vendors to continue intro-250 ducing new power and thermal management capabilities into 251 their products, including I/O and system buses, chipsets, and 252 network and disk interfaces, making future platforms even 253 more heterogeneous. 254

Previous work has proposed different approaches for 255 energy-efficient workload allocation in clusters, but none 256 have accounted for system level power management and 257 thermal characteristics. Therefore, the workload allocations 258 proposed by previous approaches will yield less than ideal 259 results since they are completely unaware of power and ther-260 mal management effects on system performance and power 261 consumption. To illustrate this phenomenon, we experimen-262 tally compare two dual processor systems, A and B, run-263 ning two different workloads, as shown in Fig. 1(b). The 264 differences between the two systems are in the power sup-265 ply unit (PSU) and processor power management capabili-266 ties. System A has a less efficient power supply at light load 267 and has processors with limited power management support. 268 System B, on the other hand, has a high efficiency power 269 supply across all loads and processors that support a rich set 270

of power management capabilities. We measure power consumption on these platforms using two different synthetic workloads: one with full utilization (W1) and one with a very low level of utilization (W2). W1 consumes about the same amount of power on both platforms. However, allocating the low-utilization W2 to system A leads to very power inefficient execution. Since A does not support power management and has low PSU efficiency at light load, its total system power is more than 50 W higher than that of system B. Thus, while both systems meet the performance demand of both workloads, heterogeneity-aware resource allocation can decrease total power by more than 10%, translating into millions of dollars in savings for large data centers. As this example shows, a full knowledge of system power and supported power management features is required to efficiently allocate workloads. Our HALM system is designed to provide such functionality.

(b) Heterogeneity Management Example

#### 2.2 Benefits of heterogeneity-aware management

To further motivate the need and benefits of heterogeneityaware management in data centers, we perform two opportunity studies. The first study considers the possible benefits of allocating workloads by matching system capabilities and workload execution characteristics to reduce a data center's power profile while also meeting workload performance demands. We analyze an example of running a set of workloads in a data center configuration with four unique types of platforms described later in the paper, each with different power/performance characteristics. The set of workloads includes ten computational benchmarks (swim, bzip2, mesa, gcc, mcf, art, applu, vortex, sixtrack, and lucas from SPEC CPU2000) and one transaction-oriented workload (SPECjbb2005). We generate all subsets of four from these eleven benchmarks and compare three allocation policies for each of the subsets in Fig. 2(a). The 'worst case' allocation distributes the benchmarks across platforms to maximize power consumption, 'random' allocates workloads to platforms randomly, and 'optimal' distributes the workloads to minimize power consumption. For each workload, we allocate as many systems of a given type as necessary to meet workload throughput requirements. Subsets

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Fig. 2 Opportunity analysis of heterogeneity-aware management

that have benchmarks with more homogeneous behavior, i.e. 340 similar processor and memory usage behavior, appear on the 341 left side of the graph, while subsets with more heteroge-342 neous benchmarks appear on the right. As can be seen from 343 the figure, subsets of workloads with more heterogeneous 344 behavior can substantially benefit from heterogeneity-aware 345 resource allocation. Averaging across all subsets, the opti-346 mal policy can reduce total power by 18% when compared 347 to random allocation and by 34% over worst-case allocation, 348 without compromising workload performance. 349

The second opportunity study considers how the aggre-350 gate throughput of a set of workloads varies within a given 351 power budget based upon allocations. In particular, we as-352 sume that we have one of each of our four unique platforms 353 and again generate subsets of four workloads from a set of 354 SPEC CPU2000 benchmarks. For each subset, we calculate 355 the minimum, average, and best case throughput across all 356 permutations of possible allocations of the four workloads 357 onto the four platforms. Figure 2(b) provides the results, 358 where each scenario is normalized by the minimum through-359 put value to provide fair comparisons. We find that on aver-360 age, the best case allocation provides a 23% improvement 361 in performance over the random allocation, and a 48% im-362 363 provement compared to the worst-case. These results highlight the relationship between allocation decisions and per-364 formance when a power budget must be imposed. 365

Summarizing, HALM addresses the power benefits of 366 heterogeneity-aware allocation for two cases: (1) when there 367 is no power budget and (2) when such a budget must be 368 imposed temporarily due to power delivery or cooling con-369 370 straints or as part of a power provisioning strategy [8].

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#### 373 3 Scalable enterprise and data center management

375 Our previous discussions have motivated the need to aug-376 ment the behavior of data centers to improve manageabil-377 ity by leveraging the heterogeneity in platform capabilities. 378

HALM extends this support with its heterogeneity-aware workload allocation infrastructure that utilizes the flexibility of rapidly developing virtualization technologies. Virtualization attempts to provide capabilities and abstractions that significantly impact the landscape of enterprise management. For example, there is active work to ensure performance isolation benefits, where it will be possible to run multiple virtual machines (VMs) within a given physical platform without interference among applications [15]. Currently, VMs can coexist on a platform with negligible performance interference as long as resources are not overcommitted. Approaches that allow for resource pools and reservations as well as dynamic resource sharing and reclamation can aid in providing isolation even when systems are over-provisioned. Secondly, by encapsulating application state within well defined virtual machines, migration of workloads among resources can be performed easily and efficiently. A more powerful contribution of virtualization, however, is the ability to combine multiple resources across physical boundaries to create virtual platforms for applications, providing a scalable enterprise environment. HALM assumes the existence of this flexible and powerful virtualization support.

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The usage pattern of data centers is becoming increasingly service-oriented, where applications and workloads may be submitted dynamically by subscribers/clients. When managing these types of applications certain management actions, such as allocation decisions, happen at a coarse granularity with finer adjustments being made at runtime to address transient issues such as reduced power budgets. One can imagine how such a data center might be managed with the typically used assignment approaches. At some infrequent interval the pool of applications and service level agreements (SLAs) that specify their required performance, in metrics such as throughput or response time, are compiled. Applications are then assigned to platforms using a simple load balancing scheme based upon utilization or queue lengths, possibly even accounting for differences in the performance of the systems [26], so that SLAs are met.



Fig. 3 HALM architecture

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When load must be reduced to address power budgeting requirements, load might be shed from workloads in a similarly random or round robin fashion. This approach clearly leaves room for improvement, since it does not consider power or platform differences in any way. HALM addresses this weakness by performing heterogeneity aware allocations as well as intelligent load shedding.

The HALM architecture can be organized into three ma-455 jor components: (1) platform/workload descriptors, (2) a 456 power/performance predictor, and (3) an allocator, as shown 457 in Fig. 3(a). We use platform and workload descriptors to 458 provide our workload allocator with the differences amongst 459 workloads and platforms. These descriptor inputs are uti-460 lized by the predictor to determine: (1) the relative perfor-461 mance of workloads on different types of platforms, and 462 (2) the power savings achievable from platform power man-463 agement mechanisms. Coupled with coarse platform power 464 consumption information (obtained via online power moni-465 toring) (3) the allocator, performs the assignments of work-466 loads to the available resources. 467

The purpose of platform descriptors is to convey informa-468 tion regarding the hardware and power management capabil-469 ities of a machine. A platform descriptor is made up of mul-470 tiple modules, representing different system components, as 471 shown in Fig. 3(b). Each module specifies the type of com-472 ponent to which it refers, such as processor, memory subsys-473 tem, or power supply. Within each of these modules, various 474 component parameters are defined. For example, a module 475 describing the processor component may have attributes like 476 its microarchitecture family, frequency, and available man-477 agement support. Workload descriptors are also structured 478 in modules, headed with attribute declarations. Within each 479 module, a list of values for that attribute is provided. As 480 workload attributes often vary with the platforms on which 481 it executes, our descriptor design allows multiple attribute 482 definitions, where each definition is predicated with com-483 ponent parameter values that correlate back to platform de-484 scriptors. Figure 3(b) illustrates the structure of the resulting 485 workload descriptor. We further explain the meaning of the 486

MPI (memory accesses per instruction) and CPI<sub>CORE</sub> (core cycles per instruction) attributes in subsequent sections.

Platform descriptor information can be provided in a variety of ways. It can be made readily available using platform support such as ACPI [13], and possibly also with some administrative input. To provide the required workload descriptors, we profile workloads on a minimal set of *orthogonal platforms*, with mutually exclusive component types. We then use an analytical prediction approach to project workload characteristics on all available platforms. As we discuss in Sect. 5, this approach provides accurate predictions that scale with increased amounts of heterogeneity.

#### 4 Methodology

#### 4.1 Platform hardware

Our hardware setup consists of four types of rack mounted server platforms summarized in Fig. 4(a), where LLC denotes last-level cache size. All four types of platforms contain standard components and typical configurations that entered production cycles. In our experiments Linux was installed on all systems for measurement of various attributes (e.g. CPI, MPI, etc.) as well as performance. We validated that the performance results matched those with Xen using a subset of workloads and platforms, but performed the majority of our experiments in a non-virtualized environment to have better access to performance counters used to measure other workload attributes.

The platform names are based on their processor code name in this paper. All four platforms are dual-processor systems. Woodcrest, Sossaman, and Dempsey are CMP dual-core processors, and Irwindale is a 2-way SMT processor supporting Hyper-Threading Technology. All platforms have 8 GB of memory. Woodcrest and Dempsey support Fully Buffered DIMM (FBD) memory with a 533 MHz DDR2 bus, while Sossaman and Irwindale support unregistered DDR2 400 MHz memory. Woodcrest and Dempsey

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Woodcrest Sossaman Dempsey Irwindale								t :				
Processor	3GHz/4MB LLC Core architecture	2GHz/2 Pentiu	2GHz/2MB LLC Pentium <sup>®</sup> M		4MB LLC irst/P4	3.8GHz/2MB LL NetBurst/P4	2	Core / P-M		nan	Woodcre	est
FSB	1067 MHz Dual FSB	MHz Dual FSB 800 MHz Blackford Lindenhurst R2-533 FBD DDR2-400		1067 MHz Dual FSB Blackford DDR2-533 FBD		800 MHz Lindenhurst	800					
Chipset	Blackford						Ne	Netburst (P4)		ale	Dempse	Memory
Memory	DDR2-533 FBD					DDR2-400				DDR2	4MB-1067-	BD Subsyster
F <b>ig. 4</b> Exp	(a) F	<b>Platforr</b> rms	n Cha	racterist	cs				(b) He	terogenei	ty Quadr	ants
	1											
Table 1         Levels of           heterogeneity in our         experimental platforms				Across-		ss-Platforms	s-Platforms		Within-Platform		apability	
				Microarchitecture		re Me	nory	ry _			Dische i	Heterogeneous Configuration
				Core	Netbu	rst FBDIMM	DDR-2	Freque	Frequency [GHz]	Enabled	Disabled	Joinigulation
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								3.0		x	2	
		odcrest							2.6	x		3
			Woodcres								x	4
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have dual FSB architectures with two branches to memory and two channels per branch. 

All four types of systems are heterogeneous in a sense that each has a unique combination of processor architec-ture and memory subsystem. If we assume that Intel Core microarchitecture/Pentium® M and NetBurst constitute two types of processors and LLC-4 MB/FSB-1066/FBD-533 and LLC-2 MB/FSB-800/DDR2-400 constitute two types of memory, all four platforms can be mapped as having unique processor/memory architecture combinations. Note that all four platforms also have vastly different power and perfor-mance characteristics. For example, the Intel Core microar-

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chitecture is superior to NetBurst both in terms of performance and power efficiency. FBD based memory, on the other hand, provides higher throughput in our systems at the expense of elevated power consumption due to increased DDR2 bus speed and the power requirements of the Advanced Memory Buffer (AMB) on the buffered DIMMs. The four platforms occupy separate quadrants of a heterogeneity space with dimensions of microarchitecture heterogeneity and memory subsystem heterogeneity, as shown in Fig. 4(b). We refer to this initial level of heterogeneity as "across-platform heterogeneity". However, in addition to this, all these server platforms also support chip-level DVFS.

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This leads to a second degree of heterogeneity, where one 649 650 type of platform can have instances in a data center that are configured to operate at different frequencies. We re-651 652 fer to this as "within-platform heterogeneity". As process 653 variations increasingly result in the *binning* of produced 654 chips into different operating points, this within-platform 655 heterogeneity becomes an inherent property of the general data center landscape. Finally, many of these platforms 656 657 may incorporate some processor dynamic power manage-658 ment (DPM) techniques that adaptively alter platform be-659 havior at runtime. This creates a third source of heterogeneity, "DPM-capability heterogeneity", where platforms with 660 661 built-in DPM hooks exhibit different power/performance 662 characteristics from the ones with no DPM capabilities. In 663 Table 1, we show how these three levels of heterogeneity 664 quickly escalate the number of distinct platform configura-665 tions in a data center scenario.

666 All experimental power measurements are performed us-667 ing the Extech 380801 power analyzer. The power is mea-668 sured at the wall and represents total AC power consumption 669 of the entire system. The power numbers presented in this 670 paper are obtained by averaging the instantaneous system 671 power consumption over the entire run of each workload. 672 Our assumption is that infrastructure support for monitor-673 ing power consumption will be utilized to obtain this type 674 of workload specific power characteristics online, instead 675 of parameterized models. For example, all power supplies, 676 which adhere to the latest power supply monitoring interface 677 (PSMI) specification, support out-of-band current/voltage 678 sampling allowing for per platform A/C power monitoring 679 reflected by our actual power measurements. 680

#### 681 4.2 Application model 682

683 When power managing computing environments, improve-684 ments can be attained with a variety of approaches. In this 685 work, we consider two scenarios. The first assumes a lack 686 of budgeting constraints, concentrating on a workload allo-687 cation that reduces power consumption while maintaining 688 baseline application performance. In other words, we max-689 imize the performance per watt, while holding performance 690 constant. The second addresses power budgeting by per-691 forming load shedding to reduce power consumption while 692 minimizing performance impact to workloads. We consider 693 application performance in terms of throughput, or the rate 694 at which transaction operations are performed. Therefore, 695 it is not the execution time of each transaction that defines 696 performance, but the rate at which multiple transactions can 697 be sustained. This type of model is representative of appli-698 cations such as transaction based web services or payroll 699 systems. 700

The goal of HALM is to evaluate the power-efficiency 701 tradeoffs of assigning a workload to a variety of platforms. 702

Since the performance capabilities of each platform are dif-703 ferent, the execution time to perform a single operable unit, 704 or atomic transaction, varies across them. As previously 705 mentioned, virtualization technologies can help to extend 706 the physical resources dedicated to applications when nec-707 essary to maintain performance by increasing the number of 708 platforms used to execute transactions. In particular, trans-709 actions can be distributed amongst nodes until the desired 710 711 throughput is reached.

For our analysis, we consider applications that mimic 712 the high performance computational applications common 713 to data center environments and also heavily exercise the 714 power hungry components of server platforms, the proces-715 sor and memory. Two aspects of these workloads are cap-716 tured in our experimental analysis. First, these workloads 717 are inherently transactional, such as the previous financial 718 payroll example or the processing of risk analysis models 719 720 across different inputs common to investment banking. Sec-721 ond, with the ability to incorporate large amounts of memory 722 into platforms at relatively low costs, these applications often execute mostly from memory, with little or no I/O being 723 724 performed. Though I/O such as network use can play a significant role in multi-tier enterprise applications, we leave 725 consideration of such characteristics to future work. To realize our application model, while also providing deterministic and repeatable behavior for our experimentation, we utilize benchmarks from the SPEC CPU2000 suite as representative examples of transaction instances. SPEC benchmarks allow for the isolation of processor and memory components, while also generating different memory loads. Indeed, many SPEC benchmarks exhibit significant measured memory bandwidth of 5-8 GB/sec on our systems. In order to provide an unbiased workload set, we include all SPEC benchmarks in our experiments. For each application, we specify an SLA in terms of required transaction processing rate, equal to the throughput achievable on the Woodcrest platform.

## 5 Workload behavior estimation

The power/performance predictor component of our HALM framework can be implemented in multiple ways. For example, one can profile a set of microbenchmarks on all platform configurations and develop statistical mapping functions across these configurations. However, as the platform types and heterogeneity increase, the overhead of such approaches can be prohibitive. Instead, we develop a predictor that relies on the architectural platform properties and adjusts its predictions based on the heterogeneity specifications. We refer to this model as the "Blocking Factor (BF) Model". The BF model simply decomposes execution cycles into CPU cycles and memory cycles. CPU cycles represent

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773 the execution with a perfect last-level cache (LLC), while memory cycles capture the finite cache effects. This model is 775 similar to the "overlap model" described by Chou et al. [5]. 776 With the BF model, the CPI (cycles per instruction) of a workload can be represented as in (1). Here CPI<sub>CORE</sub> represents the CPI with a perfect LLC. This term is independent from the underlying memory subsystem. CPIMEM accounts 780 for the additional cycles spent for memory accesses with a finite-sized cache:

$$CPI = CPI_{CORE} + CPI_{MEM}.$$
 (1)

The CPIMEM term can be expanded into architecture and workload specific characteristics. Based on this, the CPI of a platform at a specific frequency  $f_1$  can be expressed as in (2). Here, MPI is the memory accesses per instruction, which is dependent on the workload and the LLC size, L is the average memory latency, which varies based upon the memory subsystem specifications, and BF is the blocking factor that accounts for the overlapping concurrent execution during memory accesses, which is a characteristic of the workload:

$$CPI(f_1) = CPI_{CORE}(f_1) + MPI \cdot L(f_1) \cdot BF(f_1).$$
(2)

798 Using variants of (2), performance prediction can be performed relatively easily for within-platform heterogeneity, as well as across-platform heterogeneity. For withinplatform heterogeneity, the frequency-dependent compo-802 nents of (2) are scaled with frequency to predict workload 803 performance on a different frequency setting. The top chart in Fig. 5 provides results for an example of this type of prediction with an orthogonal platform (Sossaman). The figure 806 contains the actual measured performance for our workloads together with the predicted performance.

808 In the latter case of across-platform heterogeneity, the 809 natural decoupling of the microarchitectural and memory 810

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subsystem differences in the BF model enables us to estimate application performance on a platform lying on a different corner of the memory and microarchitecture heterogeneity space. Among our four experimental platforms, two "orthogonal platforms", which span two opposite corners of the platform heterogeneity quadrants in Fig. 4(b), can be used to predict performance on a third "derived platform". The lower chart in Fig. 5 shows the prediction results for the Woodcrest platform, whose performance is "derived" using the CPI<sub>CORE</sub> and CPI<sub>MEM</sub> characteristics of the orthogonal platforms (Sossaman and Dempsey respectively). Overall, for the orthogonal platforms, the BF model can very accurately predict performance with an average prediction error of 2%. For the derived platforms, our predictor can track actual execution times very well, though with an increased average prediction error of 20%. In the following sections, we show that this performance prediction methodology provides sufficient accuracy to represent workload behavior and allows HALM to achieve close to optimal allocations. Further details of this prediction methodology can be found in our previous work [22].

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The final heterogeneity type supported by our predictor is the DPM-capability heterogeneity. For this, we consider a platform that enables DVFS during memory bound execution regions of an application. We implement this functionality as part of OS power management, based on prior work [14]. To incorporate DPM awareness, we extend the predictor component to estimate the potential power savings that can be attained when executing a workload on a DPM enabled platform. Experimental results show that there is a strong correlation between the MPI of a workload and its power saving potential. Therefore, we utilize the MPI attribute in the workload descriptors to predict the power saving potentials of workloads on DPM enabled platforms. Figure 6 shows that our MPI based prediction approach effectively captures the power saving potentials of different workloads and successfully differentiates applications that

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can benefit significantly from being allocated to a DPM enabled machine. As we describe in Sect. 6.1, we use this predictor to choose workloads that should be assigned to the
DPM enabled platforms.

#### <sup>880</sup> 6 Management policies

# 882 6.1 HALM allocation policy

After processing the workload and platform descriptors, 884 and utilizing our BF model for performance prediction, the 885 886 next step is to perform allocation of resources to a set of applications in a data center. Evaluations are based on a 887 888 greedy policy for allocating workloads. In particular, with each application i, we associate a cost metric for execut-889 ing on each platform type k. Workloads are then ordered 890 891 into a scheduling queue based on their maximum cost metric across all platform types. The allocator then performs 892 893 application assignment based on this queue, where applications with higher worst-case costs have priority. The plat-894 form type chosen for an application is a function of this cost 895 896 metric across the available platforms as well as the estimated 897 DPM benefits. As a cost metric for our policy, we define  $N_{i,k}$ , the number of platforms of type k required to execute 898 a workload *i*,  $N_{i,k}$ . This value is clearly a function of both 899 the performance capabilities of the platform and the SLA re-900 quirement of the workload.  $N_{i,k}$  can be analytically defined, 901 given the transaction based application model utilized in our 902 903 work. For each application i, the service level agreement (SLA) specifies that  $X_i$  transactions should be performed 904 every  $Y_i$  time units. If  $t_{i,k}$  is the execution time of a trans-905 action of application *i* on platform *k*, the resulting number 906 907 of platforms required to achieve the SLA can be expressed with (3)908

$$N_{i,k} = \left\lceil \frac{X_i}{\lfloor Y_i / t_{i,k} \rfloor} \right\rceil.$$
(3)

<sup>912</sup> The  $t_{i,k}$  values are provided by the performance predictor. <sup>913</sup> It should be noted that there is a discretization in  $N_{i,k}$ , which <sup>914</sup> is due to the fact that individual atomic transactions cannot <sup>915</sup> be parallelized across multiple platforms.  $N_{i,k}$  is therefore <sup>916</sup> better able to handle errors due to the inherent discretiza-<sup>917</sup> tion performed, making it a strong choice as a cost metric (other possible metrics are discussed and defined in our previous work [22]). Given the use of  $N_{i,k}$  as our cost metric, our allocation approach first determines the platform types of which (1) there are enough available systems to allocate the workload and (2) the cost metric is minimized. We then use DPM savings to determine whether a more power efficient platform alternative should be used between those with the same cost value. In other words, if there are multiple platform types for which an application has the same  $N_{i,k}$  value, we utilize a DPM specific threshold to decide whether or not it should be scheduled to a DPM enabled platform type. As we demonstrate in the following section, this threshold based approach can be effective in identifying workloads that can take advantage of DPM capabilities.

# 6.2 HALM power budgeting policy

In order to address transient power delivery or cooling issues, it is sometimes necessary to temporarily reduce power consumption in a data center. To provide this mechanism, we develop a load shedding policy based upon an existing workload allocation scheme. The goal of the policy is to reduce the amount of resources provided to applications in order to meet a power budget while still allowing all workloads to make some progress. In other words, application performance may be degraded compared to prescribed SLAs, but all applications achieve some fraction of their SLA.

Our power budgeting policy is, again, a greedy approach. For all applications with resources that can be shed, i.e. applications that utilize more than one platform, we define a power-efficiency metric as the throughput per Watt that is being provided by each resource. Afterwards, the resources with minimal power efficiency are shed until the power budget is met. As our experimental results demonstrate, this simple metric allows for improved performance when power budgeting must be performed, as well as better fairness across workloads in terms of performance degradation experienced.

# 7 Experimental evaluation

#### 7.1 Increasing power efficiency

In order to evaluate our heterogeneity-aware allocation approach, we perform power and performance measurements

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973 of our SPEC based representative transactional workloads 974 across each type of platform. To scale these results to the 975 number of platforms present in data centers, this measured 976 data is extrapolated analytically using a data center alloca-977 tion simulator which combines real power and performance 978 data, prediction output, and allocation policy definitions to 979 calculate power efficiency in various data center configura-980 tions. In the simulator, we provide the output of the predictor 981 as input to the allocation policy. We always assume that the 982 platforms which are profiled are the 2 GHz Sossaman plat-983 form and the 3.7 GHz Dempsey system. Since we assume the workload attributes are profiled accurately on these sys-984 985 tems, for fairness we also assume that for these two plat-986 forms performance data is obtained via profiling as well and 987 is therefore known perfectly. We then consider three different scenarios: (1) all other platform performance informa-988 989 tion is known perfectly (oracle) (2) our BF model is used to predict performance for the remainder of platforms as de-990 scribed in Sect. 5 (BF model) (3) incorporating a simple sta-991 992 tistical regression approach (Stat. Est.). For this regression 993 method, we profile a subset of applications across all plat-994 forms to obtain linear performance prediction models para-995 meterized by variables that can be obtained by profiling a 996 workload on the 2 GHz Sossaman and 3.7 GHz Dempsey systems (CPI, MPI, etc.). The regression models can then 997 998 be used to predict performance of any application. The baseline allocation scheme we compare against is a random one, 999 1000 since it closely estimates the common round-robin or uti-1001 lization based approach.

The efficiency improvements achievable in a data center 1002 are also dependent upon the system's current mix of appli-1003 1004 cations. To obtain our results, we randomly pick applica-1005 tions and allocate them using the random approach until no 1006 more workloads can be scheduled. Using the resulting set of workloads, we then evaluate power consumption when 1007 1008 using our prediction and allocation policies, and compare them against the random allocation result. This is repeated a 1009 hundred times for each of our data points. 1010

We first look at the benefits achieved with HALM in data center configurations with across-platform and within-

platform heterogeneity but no DPM support. In particular, 1027 we include the four base platforms, Woodcrest, Sossaman, 1028 Dempsey, and Irwindale, as well as the frequency variations 1029 of the platforms. We create data center configurations with 1030 1031 equal numbers of each type of system. Trends are consistent 1032 across various data center sizes, so for brevity, we include 1033 here only results with 1000 platforms of each type. The re-1034 sulting data center has 13 types of platforms, and power consumptions vary with allocation as shown in Fig. 7(a). The first interesting observation is that platform heterogeneity allows us to achieve improved benefits over a simple random approach. Indeed, we see improvements of 22% with perfect knowledge and 21% using our BF based prediction compared to a random allocation policy. We also observe a significant difference between the statistical and analytical prediction schemes. The regression approach is unable to scale in terms of accuracy with increased heterogeneity, whereas the BF approach achieves close to optimal power savings.

In order to evaluate how well our allocator can exploit DPM support, we extend the thirteen platform type configuration with an additional Woodcrest 3 GHz platform which provides DPM support. We again find that our BF prediction method can provide improved aggregate savings across all machines over the statistical approach as shown in Fig. 7(b). To more closely determine our ability to exploit DPM mechanisms, we also evaluate the power consumption of the thousand DPM-enabled platforms (all of which are active). We find that our BF model based allocation is able to improve the power efficiency of these platforms by 3.3%. This illustrates the potential of HALM to provide additional benefits when platforms vary in the power management they support.

# 7.2 Maximizing performance under power budgets

As a second benefit of HALM, we evaluate the ability to perform load shedding when power budgeting must be performed. In particular, our goal is to maximize performance obtained in the data center while observing power budgets. For the purposes of this paper, we consider transient power



Fig. 7 HALM power improvements

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1094 budgeting where workloads are not (re-)migrated, but in-1095 stead, based upon an existing allocation, resources are temporarily withheld from applications and placed into low 1096 1097 power states. For comparison, we consider three such initial allocations from the previous section, one based upon 1098 1099 a random allocation, one based upon perfect oracle perfor-1100 mance information, and finally an allocation based upon pre-1101 diction with our BF approach. For each allocation, we con-1102 sider two load shedding policies, one which randomly se-1103 lects an application and sheds resources (i.e. a single com-1104 pute node) if possible, and our greedy "smart" policy de-1105 scribed in Sect. 6.2.

1106 Figure 8(a) provides the aggregate performance across 1107 all workloads in the data center for different power budgets. 1108 The performance results are normalized with respect to the 1109 maximum achievable performance at the maximum uncon-1110 strained power budget (100%). The figure shows how the 1111 performance of different allocation policies decrease with 1112 decreasing power budgets. The range of applied power bud-1113 gets is limited to 50% as there is no possible allocation that 1114 meets the budget below this point. The six scenarios con-1115 sidered are random shedding based upon a random allo-1116 cation (rand-rand), our load shedding policy based upon a 1117 random allocation (smart-rand), similarly the two shedding 1118 approaches based upon oracle based allocation (rand-oracle 1119 and smart-oracle), and finally, shedding based upon a BF 1120 prediction based allocation (rand-BF and smart-BF). We see 1121 multiple interesting trends. First, the intrinsic benefits of the 1122 heterogeneity-aware allocations towards budgeting are ap-1123 parent in the figure by the fact that performance does not 1124 begin to reduce until lower power budgets compared to a 1125 random allocation scheme. We also see that given a particu-1126 lar allocation, our shedding policy provides benefits in per-1127 formance across the set of power budgets. Moreover, again, 1128 we find that our BF prediction model behaves very close to 1129 an oracle based allocation when our load shedding policy is 1130 used. Overall, we see benefits of up to 18% in performance 1131 degradation compared to a random load shedding policy 1132 based upon a random allocation. Figure 8(b) evaluates the 1133 performance degradations for the six approaches by also 1134

taking the fairness of load shedding into account. Here, we show the aggregate data center performance as the harmonic mean of the individual workload throughputs, which is a commonly used metric for evaluating fairness [20]. We see from the figure that a random allocation always exhibits poor performance regardless of the load shedding policy used. A heterogeneity-aware allocation, on the other hand, provides improved fairness, particularly when combined with our load shedding policy.

## 8 Related work

HALM builds upon existing work and extends the state of the art in power management research. A variety of mechanisms exist to provide power and thermal management support within a single platform. Brooks and Martonosi proposed mechanisms for the enforcement of thermal thresholds on the processor [3], focusing on single platform characteristics as opposed to the data center level management achieved with HALM. Processor frequency and voltage scaling based upon memory access behavior has been shown to successfully provide power savings with minimal impact to applications. Resulting solutions include hardware based approaches [19] and OS-level techniques, that set processor modes based on predicted application behavior [14]. HALM is designed to manage load while being aware of such underlying power management occurring in server platforms. Power budgeting of SMP systems with a performance loss minimization objective has also been implemented via CPU throttling [16]. Other budgeting solutions extend platform support for fine grain server power limiting [18]. The power budgeting achieved with HALM is based upon the use of resource allocation to reduce power consumption across multiple systems, as opposed to throttling performance of individual components.

At the data center level, incorporating temperatureawareness into workload placement has been proposed by Moore et al. [21], along with emulation environments for studies of thermal implications of power management [11]. HALM can use these thermal aware strategies to perform 1183 1184 1185 1186 1187 1188

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1189 power budgeting based upon data center temperature charac-1190 teristics. Chase et al. discuss how to reduce power consump-1191 tion in data centers by turning servers on and off based on 1192 demand [4]. Utilizing this type of cluster reconfiguration in 1193 conjunction with DVFS [7] and the use of spare servers [23] 1194 has been investigated as well. As opposed to these ap-1195 proaches, HALM attempts to reduce power consumption 1196 by intelligently managing workloads across heterogeneous 1197 servers. Enforcing power budgets within data centers by al-1198 locating power in a non-uniform manner across nodes has 1199 been shown to be an effective management technique [9]. 1200 Techniques for enforcing power budgets at blade enclosure 1201 granularities have also been discussed [24]. HALM bud-1202 gets aggregate power consumption via resource allocation 1203 without assigning per server power budgets as with these 1204 previous approaches.

1205 Heterogeneity has been considered to some degree in 1206 prior work, including the evaluation of heterogeneous multi-1207 core architectures with different core complexities [17]. In 1208 comparison, HALM considers platform level heterogene-1209 ity as opposed to processor asymmetry. In cluster environ-1210 ments, a scheduling approach for power control has been 1211 proposed for processors with varying fixed frequencies and 1212 voltages [10]. HALM supports heterogeneity across addi-1213 tional dimensions, such as power management capabilities 1214 and memory. A power efficient web server with intelligent 1215 request distribution in heterogeneous clusters is another ex-1216 ample which considers leveraging heterogeneity in enter-1217 prise systems [12]. HALM goes beyond these methods, by 1218 considering not just the differences in platforms' perfor-1219 mance capabilities, but also in their power management ca-1220 pabilities. 1221

#### 1223 9 Conclusions and future work

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1225 Power management in data center environments has become 1226 an important area of research, in part because power delivery 1227 and cooling limitations are quickly becoming a bottleneck 1228 in the provisioning of performance required by increasingly 1229 demanding applications. This paper makes use of the man-1230 agement flexibility afforded by virtualization solutions to 1231 develop a heterogeneity-aware load management (HALM) 1232 system. HALM improves power management capabilities 1233 by exploiting the natural heterogeneity of platforms in data 1234 centers, including differences in dynamic power manage-1235 ment support that may be available. We introduce a three 1236 phase approach to mapping workloads to underlying re-1237 sources to improve power efficiency consisting of struc-1238 tured platform and workload descriptors, a prediction com-1239 ponent to estimate the performance and power characteris-1240 tics of various workload to platform mappings, and finally 1241 an allocator which utilizes policies and prediction results to 1242

perform decisions. We also evaluate a load shedding policy based upon resulting allocations to improve performance

Our results underscore two major conclusions. First, we 1246 show that by intelligently considering the varying power 1247 management capabilities of platforms, the ability for these 1248 systems to obtain power savings using their management 1249 mechanisms can be vastly improved when compared to 1250 other assignment models. Using representative data center 1251 configurations consisting of older P4 based platforms up to 1252 Intel Core microarchitecture based systems, we find that our 1253 allocation architecture can improve power efficiency by 20% 1254 on average. In addition, our results show that by performing 1255 intelligent load shedding when power budgets must be ob-1256 served, 18% improvements in performance degradation can 1257 be obtained when using HALM. 1258

when power budgeting must be performed.

In this paper, we present the beginning of our investi-1259 gation into exploiting platform heterogeneity and emerg-1260 ing virtualization support to improve the power characteris-1261 tics of enterprise computing environments. As future work, 1262 we plan to integrate the management tradeoffs and lessons 1263 learned from this work into virtualization layer management 1264 applications. This includes the consideration of distributed 1265 virtualized workloads such as tiered web services where dif-1266 ferent components may be appropriate for each layer, in-1267 cluding heterogeneous I/O devices. The results presented in 1268 this paper support the potential of this area of research for 1269 power managing heterogeneous computing systems. 1270

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